



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James K. Guenter et al.

Examiner: Hung T. Vy

Serial No.: 09/577,034

Group Art Unit 2828

Filed: May 23, 2000

For: SYSTEM AND METHOD FOR VCSEL POLARIZATION CONTROL

Docket No.: 1139.1110101 (M40 26373 US)

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TRANSMITTAL SHEET

Box Amendment

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL921288318US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 22nd day of August, 2002.

By

Lynn Thompson
Lynn Thompson

We are transmitting herewith the attached:

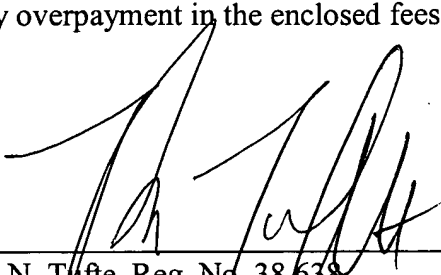
☒ [X] Amendment

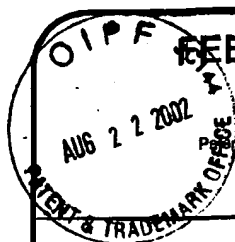
☒ [X] The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	22-	20=	2	x9=	\$	x18=	\$ 36
INDEPENDENT CLAIMS	5-	3=	2	x42=	\$	x84=	\$ 168
() FIRST MULTIPLE DEPENDENT CLAIM				+140=	\$	+280=	\$
TOTAL				\$		\$204.00	

- [X] Letter to Official Draftsman with 1 redlined drawing and 1 substitute formal drawing for approval by Examiner.
- [X] Fee Transmittal Sheet authorizing payment of \$204.00 to Deposit Account No. 01-1125.
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 01-1125.

By: _____


Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, Minnesota 55401-2246
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FREE TRANSMITTAL
For FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$204.00

COMPLETE IF KNOWN

Application No. 09/577,034.
Filing Date 05-23-00
First Named Inventor James K. Gu nter
Group Art Unit 2828.
Examiner Name Vy, Hung T.
Attorney Docket No. M40 26373 US

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METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-1125

Deposit Account Name Honeywell International Inc.

☒ Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.17

2. ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Code	Code		
101	201	Utility Filing Fee	
106	206	Design Filing Fee	
107	207	Plant Filing Fee	
108	208	Reissue Filing Fee	
114	214	Provisional Filing Fee	

SUBTOTAL (1) \$

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
22	2	18.00	36.00
4	2	84.00	168.00
Multiple Dependent Claims	-0-	280.00	-0-

Large Entity	Small Entity	Fee Description	Fee Paid
Code	Code		
103	203	Claims in excess of 20	
102	202	Independent claims in excess of 3	
104	204	Multiple dependent claim	
109	209	Reissue independent claims over original patent	
110	210	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$204.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Code	Code		
105	205	Surcharge - late filing fee or oath	
127	227	Surcharge - late provisional filing fee or cover sheet	
139	139	Non-English specification	
147	2250	For filing a request for ex parte reexamination	
112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
118	400	Extension for reply within second month	
117	920	Extension for reply within third month	
118	1,440	Extension for reply within fourth month	
128	1,980	Extension for reply within fifth month	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,280	Petition to revive - unintentional	
142	1,280	Utility issue fee (or release)	
143	460	Design issue fee	
144	620	Plant issue fee	
122	130	Petitions to the Commissioner	
123	50	Processing fee under 37 CFR 1.17(a)	
128	180	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	
146	740	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	Request for Continued Examination (RCE)	
189	900	Request for expedited examination of a design application	
185	300	Publication fee	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$

SUBMITTED BY

Name (Print / Type) Andrew A. Abeyta

Registration No. 39,582
(Attorney/Agent)

Signature

Complete (if applicable)

Telephone 602-313-3345

Date 8-22-02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.



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LETTER TO OFFICIAL DRAFTSMAN

Assistant Commissioner for Patents
Washington, D.C. 20231

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By

Lynn Thompson
Lynn Thompson

Dear Sir:

Submitted herewith is one (1) redlined drawing and (1) substitute formal drawing for the approval of the Examiner.

Respectfully submitted,

James K. Guenter et al.

By their attorney,

Date:

August 22, 2002

Brian N. Tufte
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